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**ATTORNEY'S DOCKET NUMBER: 2001323-0018**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|             |   |           |
|-------------|---|-----------|
| Applicant:  | Schabes, <i>et al.</i>                          | Examiner: |
| Serial No.: | 09/845,571                                      | Art Unit: |
| Filed:      | April 30, 2001                                  |           |
| For:        | System for Answering Natural Language Questions |           |

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

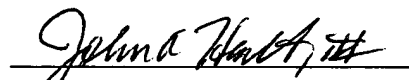
**STATEMENT UNDER 37 CFR §1.52(e)**

Applicants enclose herewith two CD-ROM's in hard CD cases as required by 37 CFR §1.52(e) in response to the Notice to File Missing Parts for the above-referenced patent application.

The undersigned states that the content of each of the two CD-ROMs is identical to the other, as well as the content of the CD-ROMs originally submitted (in incorrect format). The files contained on the CD-ROMs were created prior to April 28, 2000, and consist of: "Example\_Match\_input.txt (19Kb); "Example\_Output.txt" (20 Kb); "frames.pm" (93 Kb); "frames.txt" (115 Kb); "makemap.pl" (33 Kb); and "match.pl" (42 Kb).

The CD-ROMs are provided in ASCII (IBM-PC) format and are MS-DOS or MS-Windows compatible.

Respectfully submitted,

  
\_\_\_\_\_  
John A. Hamilton, III  
Reg. No. 48,946

CHOATE, HALL & STEWART  
Exchange Place  
53 State Street  
Boston, MA 02109  
(617) 248-5000  
Dated: April 1, 2002  
CDROM statement.doc

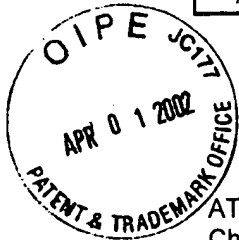
2



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/845,571         | 04/30/2001          | Yves Schabes          | 2001323-0010 (0018)    |



ATTORNEY/AGENT  
Choate, Hall & Stewart  
53 State Street  
Exchange Place  
Boston, MA 02109

CONFIRMATION NO. 5344

FORMALITIES LETTER



\*OC000000006820131\*

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/10/2003 UEDUVIJE 00000005 031721 09845571

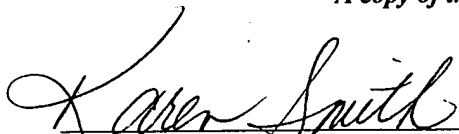
01 FC:2051 65.00 CH

The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that they contain non-ASCII files. A new duplicate set of compact discs with only ASCII files is required. Any replacement compact disc submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set. If a directory of the disc could be printed, it is attached and non-ASCII files are marked on the directory listing.

The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that only Compact Disc-Read Only Memory (CD-ROM) or a Compact Disc-Recordable (CD-R) medial are permitted. A new duplicate copy of each compact disc must be provided on CD-ROM or CD-R media. Any replacement compact discs submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set.

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*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in cursive script, reading "Karen Smith", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

## System for answering natural language questions

**Preliminary Class**

707

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).